

527 Rec'd PCT/PTC 03 NOV 2000

FORM PTO-1390 REV. 5-93		US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEYS DOCKET NUMBER P00,1814
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 09/674755
INTERNATIONAL APPLICATION NO. PCT/DE99/01295	INTERNATIONAL FILING DATE 03 May 1999	PRIORITY DATE CLAIMED 08 May 1998	
TITLE OF INVENTION BROADBAND COMMUNICATION SYSTEM			
APPLICANT(S) FOR DO/EO/US Manfred Tasto and Kurt Aretz			
Applicant herewith submits to the United States /Designated/Elected Office (DO/EO/US) the following items and other information:			
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay. 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination will be made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). Executed 10. <input checked="" type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 			
Items 11. to 16. below concern other document(s) or information included:			
<ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report). 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. (SEE ATTACHED ENVELOPE) 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input checked="" type="checkbox"/> Other items or information: <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> Submission of Drawings - One sheet of Drawings b. <input checked="" type="checkbox"/> EXPRESS MAIL #EJ077704156US dated November 3, 2000. 			

S. APPLICATION NO. (if known, see 37 C.F.R. 1.5)

09/674755

INTERNATIONAL APPLICATION NO
PCT/DE99/01295ATTORNEY'S DOCKET NUMBER
P00,1814**BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):**

Search Report has been prepared by the EPO or JPO \$860.00

International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) \$670.00

No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but
international search fee paid to USPTO (37 C.F.R. 1.445(a)(2)) \$760.00Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search
fee (37 C.F.R. 1.445(a)(2)) paid to USPTO \$970.00International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims
satisfied provisions of PCT Article 33(2)-(4) \$96.00**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the
earliest claimed priority date (37 C.F.R. 1.492(e)).

\$ 0

Claims

Number Filed

Number
Extra

Rate

Total Claims

23

- 20 =

3

X \$ 18.00

\$54.00

Independent Claims

3

- 3 =

0

X \$ 80.00

\$ 0

Multiple Dependent Claims

\$270.00 +

\$

TOTAL OF ABOVE CALCULATIONS =

\$914.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be
filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)

\$

SUBTOTAL =

\$914.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from
the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$914.00

Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property

+

TOTAL FEES ENCLOSED =

\$914.00

Amount to be
refunded

\$

charged

\$

a. ☒ A check in the amount of \$ **914.00** to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate
copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment
to Deposit Account No. **501519**. A duplicate copy of this sheet is enclosed.**NOTE:** Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be
filed and granted to restore the application to pending status.**SEND ALL CORRESPONDENCE TO:**Schiff Hardin & Waite
Patent Department
71st Floor Sears Tower
Chicago, Illinois 60606**SIGNATURE**Brett A. Valiquet**NAME**27,841**Registration Number**

- 1 -

BOX PCT

IN THE UNITED STATES ELECTED OFFICE
OF THE UNITED STATES PATENT AND TRADEMARK OFFICE
UNDER THE PATENT COOPERATION TREATY-CHAPTER II

5

PRELIMINARY AMENDMENT

APPLICANT: MANFRED TASTO ET AL

DOCKET NO: P00,1814

SERIAL NO:

GROUP ART UNIT:

EXAMINER:

10

INTERNATIONAL APPLICATION NO: PCT/DE99/01295

INTERNATIONAL FILING DATE: 03 May 1999

INVENTION: "BROADBAND COMMUNICATION SYSTEM"

Assistant Commissioner for Patents,
Washington, D.C. 20231

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Sir:

As a Preliminary Amendment for entry into the
National Stage for the above-identified PCT application,
the following is submitted:

IN THE ABSTRACT:

20

Please amend the Abstract as follows:

Delete "ABSTRACT" and substitute --ABSTRACT OF THE
DISCLOSURE--.

Please delete the title after "ABSTRACT".

09/ 674755

5 At line 5, delete "(2)".

At line 7, delete "(1)".

At line 9, delete "(1)", delete "means" and
10 substitute --unit--, delete "(5)".

At line 11, delete "(1)", before "communication"
insert --a--, delete "(2)".

At line 13, delete "(2)".

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20      Delete Line 15.
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Please amend the specification as follows (where specification amendments are to the annex pages (substitute pages) that has been so indicated):

--S P E C I F I C A T I O N

after the title, as a separate line, insert

5 On page 3, at line 3, delete "stations, the control
means" and substitute --stations. The control unit--.

On page 3, at line 6, before "what" insert --in--.

On page 3, at line 8, insert --,-- after "factory".

On page 3, at line 10, delete "ca" and substitute
10 --can--.

On page 3, at line 14, delete "outlay" and
substitute --expense--.

On page 3, at line 19, delete "drawing, where the
sole" and substitute --drawing.--, delete "Figure 1 shows
15 an".

On page 3, before line 20, insert the following
heading:

--BRIEF DESCRIPTION OF THE DRAWING--

On page 3, at line 20, before "exemplary" insert
20 --Figure 1 shows an--, delete "inventive", after "system"
insert --of the invention--.

On page 3, before line 21, insert the following
heading:

--DESCRIPTION OF THE PREFERRED EMBODIMENTS--

25 On page 3, at line 22, before "pointed" insert
--be--.

On page 3, at line 29, delete "thereby" and
substitute --therefore--.

On page 3, at the last line, delete "a matter of".

30 On page 4, at line 4, delete ",respectively,".

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--Although various minor changes and modifications might be proposed by those skilled in the art, it will be understood that our wish is to include within the claims of the patent warranted hereon all such changes

and modifications as reasonably come within our contribution to the art.--

IN THE CLAIMS:

On page 6 of the claims, delete "PATENT CLAIMS" and
5 substitute --**WE CLAIM AS OUR INVENTION**--.

Please cancel claims 1-16 without prejudice.

Please substitute claims 17-38 as follows:

17. A broadband communication system, comprising:
a plurality of cordless communication devices
10 connected to one another for cordless communication with
at least one communication terminal within a
communication cell; and

the cordless communication devices being connected
to a power supply network and designed for broadband data
15 transmission via the power supply network.

18. The communication system according to claim
17 wherein the cordless communication devices are
designed for cordless data transmission via radio.

20 19. The communication system according to claim
17 wherein the cordless communication devices are
designed for cordless data transmission via infrared
radiation.

25 20. The communication system according to claim
19 wherein the data transmission between the cordless
communication devices and the communication terminal

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21. The communication system according to claim 17 wherein the data transmission between the cordless
5 communication device and the communication terminal occurs by higher-grade digital modulation.

10 23. The communication system according to claim
19 wherein the infrared radiation has a wavelength from
1200 nm to 1400 nm.

25. The communication system according to claim 17 further comprising a control unit for controlling data communication between the cordless communication devices.

27. The communication system according to claim 26 wherein the connection to the external communication

28. The communication system according to claim
26 wherein the connection to the external communication
5 network occurs via a radio connection.

10 30. The communication system according to claim
17 wherein the communication cell is formed by a room in
a building.

31. The communication system according to claim
17 wherein the cordless communication devices are
15 designed to be screwed into an incandescent bulb socket.

32. The communication system according to claim 31 wherein at least one of the cordless communication devices comprises its own incandescent bulb socket.

33. A broadband communication system, comprising:
20 at least first and second cordless communication
devices in respective first and second communication
cells separated from each other by a wall, the first and
second communication devices being connected to each
other via a power supply network permitting broadband

at least one communication terminal within at least one of said first and second communication cells which communicates with at least one of the first and second cordless communication devices depending upon which cell the at least one cordless communication device is located in.

34. The system according to claim 33 wherein at
10 least one of the cordless communication devices is
plugged into a power outlet of the power supply network.

35. The system according to claim 33 wherein at least one of the cordless communication devices is screwed into a light bulb receptacle of the power supply network.

20 37. A method for broadband communication,
comprising the steps of:
 providing at least first and second cordless
communication devices located in respective first and
second communication cells;

5 making broadband data transmissions between the
first and second cordless communication devices via the
power supply network; and

38. The method according to claim 37 including the step of communicating in cordless fashion with the at least one communication terminal at a frequency greater than 100 GHz.

REMARKS

The specification and abstract have been amended in accordance with U.S. practice.

New claims 17 through 32 generally correspond to the PCT prosecuted claims but are drawn in accordance with U. S. format. Also, additional independent and dependent claims 33-39 have been provided.

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BROADBAND COMMUNICATION SYSTEM

The invention is directed to a broadband communication system with a plurality of cordless communication devices (1) connected to one another for cordless communication with at least one communication terminal device within a

5 communication cell.

Demanding communication services such as the transmission of video data, for example for television transmission, video playback or picture telephony, requires [sic] high data rates on the order of magnitude of 10 megabits per second. The bandwidths currently employed in cordless telephones (DECT) or, respectively,
10 in mobile radio telephony (for example, according to GSM standard) at carrier frequencies of approximately 900 MHz through approximately 2000 MHz are therefore no longer adequate for a cordless data transmission over short distances, for example in the house and garden area or in office buildings or the like. On the contrary, higher frequencies are needed, for example above 10 GHz.

15 The informational brochure "Innovationskolleg Kommunikationssysteme" of the Institute for Communications Technology of the Technical University Dresden proposes that radio frequencies in the region of 60 GHz be employed for cordless digital broadband data transmission within buildings. However, it is generally not possible to penetrate masonry at these high frequencies. A respective radio base
20 station must therefore be installed in every room in which a cordless communication is to be possible.

The informational brochure "Multimediatechnik auf integrierten Netzen und Terminals" of the Technical University Braunschweig, Institute for Communications Technology, dated 14 August 1997, proposes that the power supply
25 network be utilized for the data transmission within buildings.

GB-A-2 229 022 discloses a system wherein electrical devices connected to a power lead via data terminal devices can be remotely controlled by control data packets via a control unit likewise connected to the power lead or an infrared remote control, whereby the control data packets can comprise a size of up to 43 bytes given
30 a maximum transmission rate of 9600 bits/s. To that end, the data terminal devices

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5 An object of the present invention is to enable a cordless broadband
communication within buildings and in the environment of buildings with optimally
low installation outlay.

This object is achieved by the broadband communication system disclosed in claim 1 comprising a plurality of cordless communication devices connected to one

5 improvements of the inventive communication system are described in the subclaims.

installation outlay.

10 and communication terminals can be implemented via radio, preferably at frequencies
above 10 GHz.

15 present in the communication cell due to radio waves, which becomes greater with increasing frequency, is avoided. Due to its high intrinsic frequency, the infrared radiation enables a very broadband data transmission with up to several 100 megabits per second, 10 Mbit/s being thus unproblematically possible.

20 infrared base band or by higher-grade, digital modulation methods (OFDM, CDMA).

25 be exceeded for protecting the eyes.

infrared sources in this frequency range are at their development stage.

30 laser. Semiconductor infrared detectors are suitable as infrared receiver, these
working in the frequency range of the respective infrared source.

The communication system can comprise a control means (5) for controlling the communication between the individual communication devices or base stations, the control means can also serve the purpose of producing a connection to an external communication network, for example the telephone network or a broadband
 5 TV cable network with coaxial cable, optical fiber cable or via a radio connection as well, what is referred to as a wireless local loop.

A communication cell can be formed by a room in a building such as a residence, an office building or a factory or can be formed by a garden or courtyard area in the environment of the building. The installed power supply network, for
 10 example a 230 volt network or a 110 volt network, can be co-utilized for the data transmission between the cordless communication devices or base stations with one another.

Preferably, the cordless communication devices can be screwed into an incandescent bulb socket, as a result whereof the installation outlay is further reduced.
 15 In order to nonetheless create the possibility of room illumination at the location where the cordless communication device is arranged, the cordless communication device can preferably comprise an additional socket.

The invention is explained below on the basis of a preferred exemplary embodiment with reference to the drawing, wherein the sole Figure 1 shows an
 20 exemplary embodiment of the inventive broadband communication system.

By way of example, Figure 1 shows the application of the present invention to communication within a residential building. However, let it be pointed out that the invention is definitely not limited to such applications. Of course, the communication cells can be rooms within an office building or can also be positioned
 25 out of doors. It is important that a communication between the cordless communication device 1 and the communication terminal 2 is directly or indirectly possible, for example by reflection at walls, in every communication cell.

The cordless communication devices are schematically shown in the drawing and are referenced 1. This can thereby be a matter of a radio
 30 transmitter/receiver that works at a frequency above 10 GHz, for example at 60 GHz. Preferably, the cordless communication device or the base station 1 can be a matter of

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the ceiling incandescent bulb socket, whereby an incandescent lamp can in turn be attached to the base station.

The inventive broadband communication system enables a broadband
cordless communication within buildings or in the environment of buildings, whereby
5 the installation outlay is minimized.

PATENT CLAIMS

1. Broadband communication system comprising a plurality of cordless communication devices (1) connected to one another for cordless communication with at least one communication terminal (2) within a communication cell, whereby the cordless communication devices (1) are connectible to a power supply network and are fashioned for broadband data transmission via the power supply network (4).
2. Communication system according to claim 1, characterized in that the cordless communication devices (1) are fashioned for cordless data transmission via radio.
3. Communication system according to claim , characterized in that the cordless communication devices (1) are fashioned for cordless data transmission via infrared radiation.
4. Communication system according to claim 3, characterized in that the data transmission between cordless communication device (1) and communication terminal (2) ensues with amplitude modulation of the infrared base band.
5. Communication system according to claim 3, characterized in that the data transmission between cordless communication device (1) and communication terminal (2) ensues by higher-grade, digital modulation.
6. Communication system according to one of the claims 3 through 5, characterized in that the infrared radiation has a wavelength from 800 nm through 100 nm.
7. Communication system according to one of the claims 3 through 5, characterized in that the infrared radiation has a wavelength from 1200 nm through 1400 nm.

9. Communication system according to one of the claims 1 through 8,
5 characterized by a control means (5) for controlling the data communication between
the cordless communication devices (1).

11. Communication system according to claim 10, characterized in
10 that the connection to the external communication network is produced with coaxial
cable or optical fiber cable.

15 13. Communication system according to one of the claims 1 through
12, characterized in that the cordless communication devices (1) are fashioned for
data transmission via a 230 volt or a 110 volt power supply network.

20 15. Communication system according to one of the claims 1 through
14, characterized in that the cordless communication devices (1) can be screwed into
an incandescent bulb socket.

16. Communication system according to claim 15, characterized in that a cordless communication device comprises its own incandescent bulb socket.

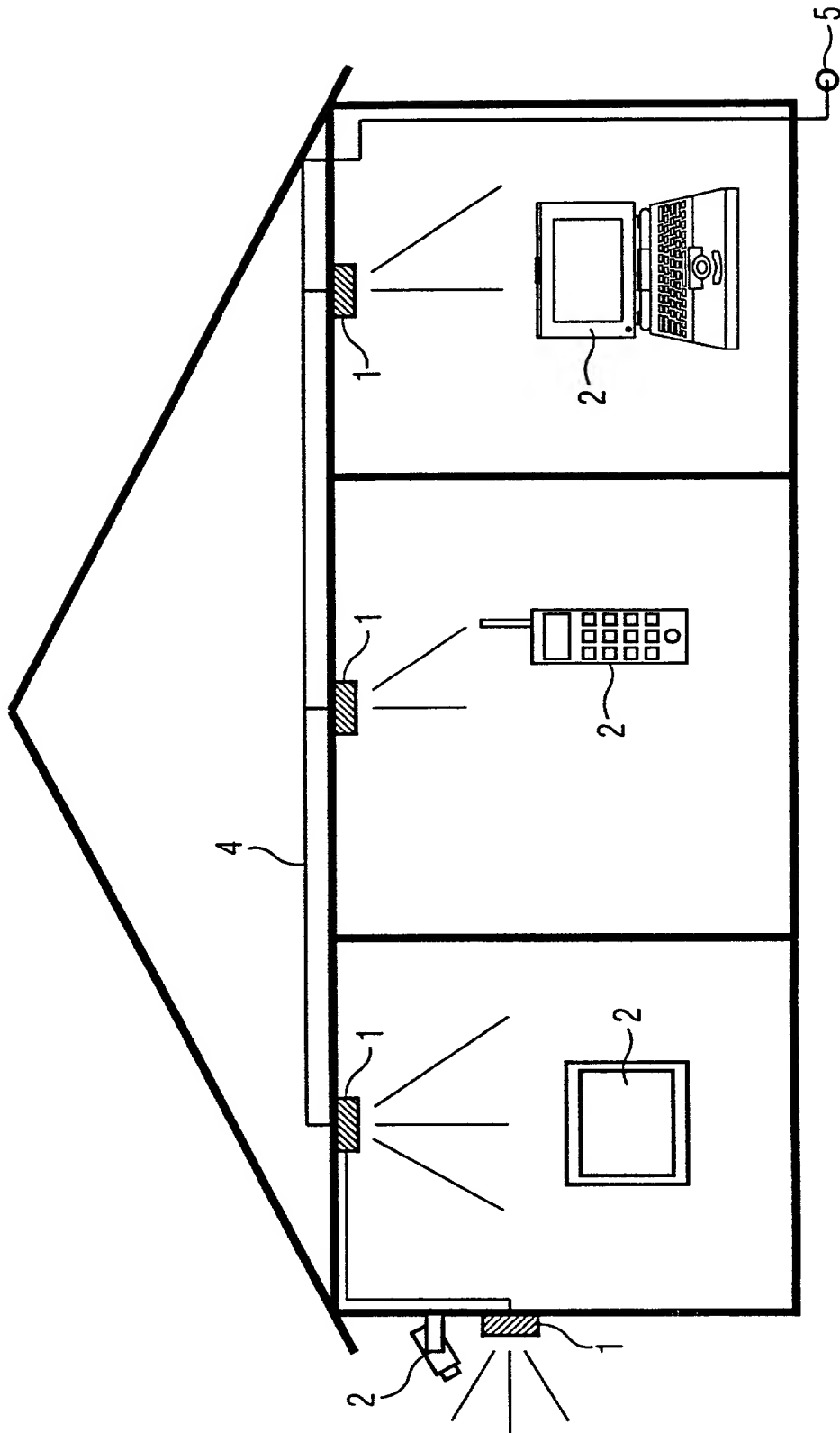
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Broadband Communication System

15 Fig. 1

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Patent and Trademark Office-U.S. DEPARTMENT OF COMMERCE

Variable	Mean	SD	Min	Max
Age	34.5	10.2	18	65
Gender	0.5	0.5	0	1
Marital status	0.6	0.5	0	1
Education	12.5	1.5	9	16
Income	1500	500	500	3000
Health status	0.8	0.2	0	1
Smoking status	0.3	0.5	0	1
Alcohol consumption	0.2	0.4	0	1
Exercise frequency	0.5	0.5	0	1
Stress level	0.7	0.3	0	1
Depression score	0.4	0.4	0	1
Life satisfaction	0.6	0.3	0	1
Work satisfaction	0.5	0.4	0	1
Family satisfaction	0.6	0.3	0	1
Community satisfaction	0.5	0.4	0	1
Overall well-being	0.6	0.3	0	1

Priority Claimed

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No
Nein

☐

No
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No
Nein

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §122, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

(Status)
(patented, pending,
abandoned)

(Status)
(patented, pending,
abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

German Language Declaration

VERTRETUNGSVOLLMACHT: Als benannter Erfinder beauftrage ich hiermit den nachstehend benannten Patentanwalt (oder die nachstehend benannten Patentanwälte) und/oder Patent-Agenten mit der Verfolgung der vorliegenden Patentanmeldung sowie mit der Abwicklung aller damit verbundenen Geschäfte vor dem Patent- und Warenzeichenamt: (Name und Registrationsnummer anführen)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

And I hereby appoint
Messrs. John D. Simpson (Registration No. 19,842) Lewis T. Steadman (17,074), William C. Stueber (16,453), P. Phillips Connor (19,259), Dennis A. Gross (24,410), Marvin Moody (16,549), Steven H. Noll (28,982), Brett A. Valiquet (27,841), Thomas I. Ross (29,275), Kevin W. Guynn (29,927), Edward A. Lehmann (22,312), James D. Hobart (24,149), Robert M. Barrett (30,142), James Van Santen (16,584), J. Arthur Gross (13,615), Richard J. Schwarz (13,472) and Melvin A. Robinson (31,870), David R. Metzger (32,919), John R. Garrett (27,888) all members of the firm of Hill, Steadman & Simpson, A Professional Corporation.

Telefongespräche bitte richten an:
(Name und Telefonnummer)

Direct Telephone Calls to: (name and telephone number)

312/876-0200

Ext. _____

Postanschrift:

Send Correspondence to:

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A Professional Corporation
85th Floor Sears Tower, Chicago, Illinois 60606

Voller Name des einzigen oder ursprünglichen Erfinders:		Full name of sole or first inventor:	
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Bundesrepublik Deutschland			
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Voller Name des zweiten Miterfinders (falls zutreffend):		Full name of second joint inventor, if any:	
ARETZ, Kurt			
Unterschrift des Erfinders	Datum	Second Inventor's signature	Date
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Staatsangehörigkeit		Citizenship	
Bundesrepublik Deutschland			
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Bundesrepublik Deutschland			

(Bitte entsprechende Informationen und Unterschriften im Falle von dritten und weiteren Miterfindern angeben).

(Supply similar information and signature for third and subsequent joint inventors).